



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2190

DATE SCANNED 3/9/11

SCANNER NO. 2

SCAN OPERATOR EEJ

11092652666



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 15, 2010

MEMORANDUM

TO: THE COMMISSION

THROUGH: ALEC PALMER
ACTING STAFF DIRECTOR

FROM: PATRICIA CARMONA *PC*
CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA *mm for DC*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

BY: *NT* NATALIYA IOFFE/SARI PICKERALL *SP*
COMPLIANCE BRANCH

SUBJECT: REASON TO BELIEVE RECOMMENDATION -
FAILURE TO FILE 48-HOUR NOTICES UNDER THE
ADMINISTRATIVE FINE PROGRAM

Attached is the name of a principal campaign committee that has failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received from the close of books for the North Carolina 12-Day Pre-Primary Report up to 48 hours before the May 4, 2010 Primary Election in accordance with 2 U.S.C. § 434(a)(6) and 11 CFR. § 104.5(f). The committee, Elaine Marshall Committee, represents a candidate who won the Primary Runoff Election in North Carolina. The committee is being referred for failing to file 48-hour notices for contributions totaling \$38,250.00.

A 48-hour notice is required to report all contributions of a \$1,000.00 or more, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per, 11 CFR § 104.5(f).

We have attached an information sheet which includes the contributor name, date of receipt and amount of the contributions for which a 48-hour notice was not filed.

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In accordance with the schedule of civil money penalties outlined within 11 CFR § 111.44, this committee should be assessed the civil money penalty so indicated.

Recommendation

1. Find reason to believe that Elaine Marshall Committee and Thomas M. Mills, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$4,815.00 be assessed.
2. Send the appropriate letter.

Attachment

11092652668

Contributions for Which a 48-Hour Notice Was Not Received**AF: 2190****Committee ID: C00466763****Committee Name: Elaine Marshall Committee****Report Type: 12-Day Pre-Runoff Report (4/15/2010 – 6/2/2010)****48-Hour Reporting Period: 4/15/2010 – 5/1/2010**

CONTRIBUTOR	DATE	AMOUNT
BLAINE, JAMES	4/18/2010	\$2,400.00
KLENZ, MARY T	4/22/2010	\$1,000.00
MIZRAHI, JENNIFER	4/22/2010	\$1,000.00
NASIR, ADNAN	4/22/2010	\$1,000.00
HELTON, LYNDON RAY	4/23/2010	\$1,000.00
CREED, BUDDY GRAY	4/26/2010	\$1,000.00
FEMINIST MAJORITY PAC	4/26/2010	\$1,000.00
GOODMON, BARBARA L	4/26/2010	\$1,000.00
MORGAN, KATIE O	4/26/2010	\$1,000.00
O'CONNELL, MARY M	4/26/2010	\$1,000.00
CLOSE, DERICK S	4/27/2010	\$1,000.00
GORDON, DEBORAH	4/27/2010	\$2,000.00
MCFARLANE, RON	4/27/2010	\$2,400.00
BERNSTEIN, ERICA	4/28/2010	\$2,300.00
RAINS, DONALD B	4/28/2010	\$1,000.00
SAFRAN, SUSAN	4/28/2010	\$1,200.00
FARAH, VICTOR VICTOR	4/29/2010	\$1,000.00
GACH, GREGORY H	4/29/2010	\$1,000.00
HENDRICK, J R III	4/29/2010	\$2,400.00
HYDE, WALLACE N	4/29/2010	\$1,750.00
RICE, ROBERT C C	4/29/2010	\$2,000.00
RICKS, CHARLES	4/29/2010	\$1,000.00
SNYDER, VERNON III	4/29/2010	\$1,000.00
THOMPSON, BENJAMIN N	4/29/2010	\$1,000.00
BIZZELL, SUZANNE SETZER	4/30/2010	\$2,400.00
MARSHALL, CYNTHIA	4/30/2010	\$1,000.00
INGLEFIELD, REBECCA PRESSLY	5/1/2010	\$2,400.00
TOTAL		\$48,150.00

Proposed Civil Money Penalty: \$4,815.00 ((9 Notices Not Filed at \$110 each) + (10% of Overall Contributions Not Filed))

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Federal Election Commission
Reason to Believe Circulation Report
48-Hour Notification Report

10/15/2010 2:28 PM

Committee ID	Committee Name	State	Election	Candidate Name	Treasurer	Prev Violations	Notices Not Filed	LOA	Penalty
C00466763	ELAINE MARSHALL COMMITTEE	NC	PRIMARY RUNOFF	MARSHALL, ELAINE FOLK	THOMAS M. MILLS	0	9	\$38,250	\$4,815

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –) AF 2190
Failure to File 48-hour Notices Under the)
Administrative Fine Program: Elaine)
Marshall Committee and Thomas M.)
Mills, Treasurer)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on October 18, 2010, the Commission decided by a vote of 6-0 to take the following actions in AF 2190:

1. Find reason to believe that Elaine Marshall Committee and Thomas M. Mills, Treasurer, violated 2 U.S.C. § 434(a)(6) and make a preliminary determination that a civil money penalty of \$4,815.00 be assessed.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision

Attest:

October 20, 2010
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

11092652671



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 26, 2010

Thomas M. Mills, in official capacity as Treasurer
Elaine Marshall Committee
324 S. Wilmington Street, Suite 420
Raleigh, NC 27601

C00466763
AF#: 2190

Dear Mr. Mills:

The Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, *et seq.* ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. *Id.* These notification requirements are in addition to all other reporting requirements. 2 U.S.C. § 434(a). Our records indicate that Elaine Marshall Committee did not submit 48-Hour Notices for contributions of \$1,000 or more, received between April 15, 2010 and May 1, 2010, totaling \$38,250, as required by 2 U.S.C. § 434(a)(6)(A). Attachment 1.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On October 18, 2010, the FEC found that there is Reason to Believe ("RTB") that Elaine Marshall Committee and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 C.F.R. § 111.44, the amount of your civil money penalty calculated at the RTB stage is \$4,815. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 2. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. <http://www.fec.gov/af/af.shtml>. 11 C.F.R. § 111.34. The amount of the civil money penalty is \$110 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation. Send your payment of \$4,815 within forty (40) days of the finding, or by November 27, 2010.

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At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or November 27, 2010. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 C.F.R. § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not or submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Elaine Marshall Committee and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

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Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.45.

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Matthew S. Petersen
Chairman

11092652674

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.44, the amount of your civil money penalty calculated at RTB is \$4,815 for the 2010 Primary Runoff Election 48-Hour Notification Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9900

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by November 27, 2010. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Elaine Marshall Committee

FEC ID#: C00466763

AF#: 2190

PAYMENT DUE DATE: November 27, 2010

PAYMENT AMOUNT DUE: \$4,815

11092652675

Contributions for Which a 48-Hour Notice Was Not Received**AF: 2190****Committee ID: C00466763****Committee Name: Elaine Marshall Committee****Report Type: 12-Day Pre-Runoff Report (4/15/2010 – 6/2/2010)****48-Hour Reporting Period: 4/15/2010 – 5/1/2010**

CONTRIBUTOR	DATE	AMOUNT
BLAINE, JAMES	4/18/2010	\$2,400.00
KLENZ, MARY T	4/22/2010	\$1,000.00
MIZRAHI, JENNIFER	4/22/2010	\$1,000.00
NASIR, ADNAN	4/22/2010	\$1,000.00
HELTON, LYNDON RAY	4/23/2010	\$1,000.00
CREED, BUDDY GRAY	4/26/2010	\$1,000.00
FEMINIST MAJORITY PAC	4/26/2010	\$1,000.00
GOODMON, BARBARA L	4/26/2010	\$1,000.00
MORGAN, KATIE O	4/26/2010	\$1,000.00
O'CONNELL, MARY M	4/26/2010	\$1,000.00
CLOSE, DERICK S	4/27/2010	\$1,000.00
GORDON, DEBORAH	4/27/2010	\$2,000.00
MCFARLANE, RON	4/27/2010	\$2,400.00
BERNSTEIN, ERICA	4/28/2010	\$2,300.00
RAINS, DONALD B	4/28/2010	\$1,000.00
SAFRAN, SUSAN	4/28/2010	\$1,200.00
FARAH, VICTOR VICTOR	4/29/2010	\$1,000.00
GACH, GREGORY H	4/29/2010	\$1,000.00
HENDRICK, J R III	4/29/2010	\$2,400.00
HYDE, WALLACE N	4/29/2010	\$1,750.00
RICE, ROBERT C/C	4/29/2010	\$2,000.00
RICKS, CHARLES	4/29/2010	\$1,000.00
SNYDER, VERNON III	4/29/2010	\$1,000.00
THOMPSON, BENJAMIN N	4/29/2010	\$1,000.00
BIZZELL, SUZANNE SETZER	4/30/2010	\$2,400.00
MARSHALL, CYNTHIA	4/30/2010	\$1,000.00
INGLEFIELD, REBECCA PRESSLY	5/1/2010	\$2,400.00
	TOTAL	\$48,500.00

Proposed Civil Money Penalty: \$4,815.00 ((9 Notices Not Filed at \$110 each) + (10% of Overall Contributions Not Filed))



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 11, 2011

MEMORANDUM

TO: THE COMMISSION

THROUGH: ALEC PALMER
ACTING STAFF DIRECTOR

FROM: PATRICIA CARMONA *PC*
CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA *DC*
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

BY: JODI WINSHIP/SARI PICKERALL *JP*
REPORTS ANALYSIS DIVISION
COMPLIANCE BRANCH

SUBJECT: FINAL DETERMINATION RECOMMENDATION - FAILURE TO FILE
48-HOUR NOTICES UNDER THE ADMINISTRATIVE FINE PROGRAM

Attached is the name of a principal campaign committee and its treasurer against which the Commission has found reason to believe (RTB) and assessed a proposed civil money penalty calculated at RTB for failure to file the appropriate 48-Hour Notices for the 2010 North Carolina Primary Runoff Election. The committee has paid the civil money penalty requested at RTB.

In accordance with 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that has paid the civil money penalty.

RAD Recommendation

- (1) Make final determination that the political committee and its treasurer on the attached report violated 2 U.S.C. § 434(a) and assess the final civil money penalty so indicated.
- (2) Send the appropriate letter.

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Federal Election Commission
Final Determination Circulation Report
48-Hour Notification Report

1/11/2011 12:23 PM

AF#	Committee ID	Committee Name	State	Election	Candidate Name	Treasurer	Prev Violations	Notices Not Filed	LOA	RTB Date	RTB Penalty	FD Penalty	Date Paid	Amount Paid
2190	C00466763	ELAINE MARSHALL COMMITTEE	NC	PRIMARY RUNOFF	MARSHALL, ELAINE FOLK	THOMAS M MILLS	0	9	\$38,250	10/18/2010	\$4,815	\$4,815	11/08/2010	\$4,815

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Determination Recommendation -) AF 2190
Failure to File 48-Hour Notices under the)
Administrative Fine Program: Elaine)
Marshall Committee and Thomas M.)
Mills, as Treasurer)

CERTIFICATION

I, Shelley E. Garr, Deputy Secretary of the Federal Election Commission,
do hereby certify that on January 13, 2011, the Commission decided by a vote
of 6-0 to take the following actions in AF 2190:

1. Make a final determination that Elaine Marshall Committee and Thomas M. Mills, as Treasurer, violated 2 U.S.C. § 434(a) and assess the final civil money penalty in the amount of \$4,815.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and
Weintraub voted affirmatively for the decision.

Attest:

January 14, 2011
Date

Shelley E. Garr
Shelley E. Garr
Deputy Secretary of the Commission

11092652679



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 18, 2011

Thomas M. Mills, in official capacity as Treasurer
Elaine Marshall Committee
P.O. Box 25128
Raleigh, NC 27611

C00466763
AF#: 2190

Dear Mr. Mills:

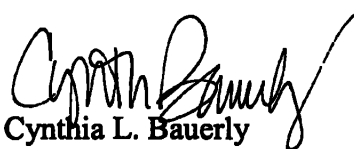
On October 18, 2010, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Elaine Marshall Committee and its treasurer violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for contributions of \$1,000 or more, received between April 15, 2010 and May 1, 2010, totaling \$38,250. By letter dated October 26, 2010, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$4,815 in accordance with the schedule of penalties at 11 C.F.R. § 111.44.

On November 8, 2010, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on January 13, 2011 that you, in your official capacity as treasurer, and Elaine Marshall Committee violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$4,815 in accordance with 11 C.F.R. § 111.44 and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,


Cynthia L. Bauerly
Chair

11092652680

FOR: Elaine Marshall Committee

FEC ID#: C00466763

AF#: 2190

PAYMENT DUE DATE: November 27, 2010

PAYMENT AMOUNT DUE: \$4,815

11/04

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000481500 BA# 1 11-05-10 20 3



11092652681



ELAINE MARSHALL COMMITTEE 324 S WILMINGTON ST #420 RALEIGH, NC 27601		1547 66-21/630 BRANCH 20001
DATE <u>11/04/2010</u>		
PAY TO THE ORDER OF	<u>Federal Election Commission</u>	\$ <u>4815.00</u>
<u>Four thousand eight hundred and fifteen and 00/100</u>		DOLLARS
WACHOVIA Wachovia Bank, a division of Wells Fargo Bank, N.A.		
FOR	<u>[Signature]</u>	



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2190

DATE SCANNED

3/9/11

SCANNER NO.

2

SCAN OPERATOR

SES

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